CURRENT NOISE POLLUTION ISSUES

THE ANTARCTIC AND SOUTHERN OCEAN COALITION (ASOC)
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I. Introduction

The categorisation of undersea noise as a source of pollution and as a potential threat to marine biodiversity began in the early 1990s in response to a coincidence of three 'focusing events': the shock testing of vessels by the US Navy; the transmission of up to 205 decibels of sound off Heard Island as part of the Acoustic Thermometry of Ocean Climate (ATOC) experiment; and the testing of low- and mid-frequency active sonar by US, Australian and NATO naval vessels. Somewhat less controversial, but arguably no less serious, is undersea noise resulting from seismic surveys, dredging and construction activities, shipping, offshore wind farms, sonar use associated with fishing and ocean science experiments.

Recent scientific research indicates that sources of undersea noise such as military sonar can cause cetaceans and other marine mammals' physiological damage. Moreover, recent instances of atypical mass strandings have been linked to the use of tactical mid-frequency active sonar. The presence of undersea noise may also result in the exclusion of cetaceans from important habitats or impede reproductive and feeding patterns. Finally, it should be noted that comparatively little research has been undertaken in connection with the impact of undersea noise on species other than cetaceans, such as fish and deep sea squid, and no such research has been carried out in respect of diving birds such as penguins and cormorants.

The issue of noise pollution and in particular, its impact on cetaceans, has reached the attention and the agenda of a number of international organisations concerned with the protection of the marine environment and the protection of biodiversity. These organisations include the International Whaling Commission (IWC) and the 1979 Convention on Migratory Species. Both ASCOBANS 1992 and ACCOBAMS 1996 have adopted resolutions on undersea noise and cetaceans and in particular, have addressed noise resulting from seismic surveys and whale watching activities. More generally, the impact of undersea noise on marine life has been identified as an issue that would benefit from future attention of the General Assembly by the United Nations Open-Ended Informal Consultative Process on Oceans and the Law of the Sea (UNICPOLOS) in its

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1 ASCOB thanks Sarah Dolman and Karen Scott for their role in developing this Information paper, an earlier version of which was presented at the ATCM in Edinburgh in June: see Update on Recent Noise Pollution Issues, IP61.

2 The term 'focusing events' has been adopted by McCarthy, International Regulation of Underwater Sound: Establishing Rules and Standards to Address Ocean Noise Pollution (2004) at 83.


8 See CMS Resolution 8.22 (2005) on Adverse Human Induced Impacts on Cetaceans which lists marine noise as one of six impacts which must be addressed through threat abatement activities.

9 Agreement on the Conservation of Small Cetaceans of the Baltic and North Sea.

10 Agreement on the Conservation of Cetaceans for the Black Sea and Mediterranean Sea.

11 See MOP4: Resolution No. 5 on Effects of Noise and of Vessels (ASCOBANS, Esbjerg 2003) and Resolution 2.16 on Assessment and Impact Assessment of Man-Made Noise (ACCOBAMS, Palma de Mallorca, 2004).
fifth report published in 2004 and also in its sixth report published in 2005. As a consequence of this recommendation, the United Nations General Assembly in Resolution 60/30 (2005) declared that it “encourages further studies and consideration of the impacts of ocean noise of marine living resources.”

The Committee on Environmental Protection has discussed undersea noise in the Southern Ocean at Antarctic Treaty Consultative Meetings since 2000 and the topic has benefited from examination by SCAR at workshops held in 2002, 2004 and 2006.

II. Marine Noise Pollution and the Southern Ocean

SCAR is playing a key role on noise pollution issues through its observer role under the Antarctic Treaty, the Madrid Protocol and CCAMLR. ASOC welcomes SCAR's recent Cádiz (Spain) workshop on the issue, which builds on previous workshops held in 2004 and in 2002. Working Paper 023 presented by SCAR to XXV ATCM in 2002 made a number of important suggestions as to how this issue should be pursued in the field, including:

(i) Research into the hearing and reaction to noise of Antarctic animals and into sound propagation conditions around Antarctica;

(ii) Records of the locations, timing, duration, frequency, and nature of hydroacoustic and other activities should be maintained to permit retrospective assessment of the likely causes of any future observed changes in the distributions, abundance, or productivity of the potentially affected species and populations; and,

(iii) Further research is needed to assess how well measures work and to monitor better the proximity of wildlife to a vessel. The Antarctic community and permitting agencies will need to monitor research progress to ensure practices are up-to-date.

ASOC encourages each CCAMLR Party and the Scientific Committee to follow through on these important SCAR recommendations, which remain timely and urgent.

Appendix 1 documents some recent scientific developments. Significantly, the received level at the animal can be just as high at 12 km as at a range of 2 km from the seismic array. Indeed, higher received levels have been recorded at distances closer to the source. Given that it is often not realistic to limit mitigation of potential impacts to within an observable radius of the sound source, wider protection remains an important consideration as a management option.

The creation of MPAs that take noise pollution into account should ensure protection of areas of critical and productive habitats, and particularly of vulnerable and endangered populations. ASOC

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14 ASOC has submitted a series of Information Papers to the ATCM as well as to CCAMLR during the past few years, which can be found on the ASOC website (www.asoc.org) in the ATCM and CCAMLR sections.


therefore welcomes the efforts to develop MPAs in the Southern Ocean (under both CCAMLR\(^{19}\) and through Annex V to the Environmental Protocol) and recommends that noise pollution be considered as one basis for establishing MPAs.

Seismic surveying has been a focus of attention with regard to intense noise pollution in the Southern Ocean. Yet there are other noise sources that also require consideration. Levels of marine noise pollution in the Southern Ocean are undoubtedly lower than in most other parts of the world. **However, particularly on a localised level, this does not lessen the significance of negative impacts to discrete populations.**

There continues to be increasing interest in the Southern Ocean by a range of sectors. Research activities (both vessel and land based), shipping, military activities\(^{20}\) and tourist vessels, including whale watching, have all been shown to impact marine mammals.\(^{21}\) Appendix 1 briefly documents some studies on the negative impacts of an increasing whale watching industry. While noise concerns are only one element of concern with regard to whale watching activities, with the rapidly increasing tourism industry in the region,\(^{22}\) and the dependence upon whale watching as a key feature, independent review of the IAATO Marine Wildlife Watching Guidelines to ensure suitability and enforcement may be required.

### III. Conclusions and Recommendations

Noise pollution is recognised in an increasing number of national and international fora. SCAR has been successful in maintaining an interest within the Antarctic community since 2002. There have been significant scientific and legal developments in the last year, and ongoing efforts in many parts of the world to manage and mitigate the negative impacts of noise pollution.

ASOC believes it is appropriate for CCAMLR to make a series of recommendations that will lead to actions within the Antarctic Treaty and CCAMLR Areas to ensure the effective, holistic and long-term protection of marine mammals in the Southern Ocean. We therefore make the following recommendations:

#### a) Assessing Noise Implications of Activities through IEE and CEE Procedures

**ASOC recommends that the noise impacts of all activities taking place within the Antarctic Treaty Area and the CCAMLR Area be subject to an initial environmental evaluation (IEE) or comprehensive environmental evaluation (CEE) (or the equivalent), as required under Article 8 and Annex I of the Environmental Protocol. ASOC recommends that CCAMLR adopt a Resolution requesting all Parties to consider the acoustic impacts of all their activities as part of an environmental assessment procedure.**

In the past it has been apparent that the practice of assessing the noise impacts of an activity has not been consistent.\(^{23}\) Where an activity is likely to have minor or transitory undersea noise impacts, that activity and its acoustic impacts must be subject to an initial environmental evaluation. The IEE must consider the cumulative impacts of the acoustic implications of the activity. Where an activity is likely to have more than a minor or transitory impact, the CEE must consider possible indirect impacts of the activity and (where appropriate) include a discussion of monitoring programmes and mitigation measures.\(^{24}\)

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\(^{19}\) CCAMLR’s Scientific Committee convened its first-ever intersessional workshop on Marine Protected Areas in August 2005, and the workshop report presented to CCAMLR was endorsed by the CCAMLR Commission later in 2005. A work plan was approved and is proceeding, chaired by Dr. Polly Penhale (US National Science Foundation).

\(^{20}\) Although Treaty provisions create prohibitions, military activities or potential impacts that extend into the Antarctic Treaty and CCAMLR areas cannot be discounted.


\(^{22}\) See, for example, ASOC and UNEP, *Antarctic Tourism Graphics: An overview of tourism activities in the Antarctic Treaty Area (2005)* Information Paper to XXVIII ATCM.

\(^{23}\) As noted by SCAR in WP-023 (2002).

\(^{24}\) See further Resolution 4 (2005) which updates the EIA guidelines.
ASOC notes the additional obligation to undertake reasonable preventative measures designed to reduce the risk of environmental emergencies and their potential adverse impact, provided for under Article 3 of Annex VI on Liability and Emergency Response Action to the Protocol adopted in Stockholm in 2005.

b) Noise Mitigation

ASOC recommends that monitoring be conducted from all scientific and fishing vessels that operate intense noise sources in the Southern Ocean.

The limitations of on-board mitigation with regard to seismic sources were presented in ASOC’s 2005 Information Paper, and have been further reviewed in Appendix 1. However, as this is currently the most widely used practice to protect marine mammals from the harmful impacts of intense noise pollution, precautionary measures for marine mammal mitigation during seismic surveys and for fishing vessels operating within the CCAMLR area would be a useful and timely development.25

CCAMLR should agree on a Resolution requiring mitigation measures to avoid harmful noise impacts from fishing vessels.

c) Creation of Marine Protected Areas

ASOC recommends that waters within the Antarctic Treaty Area where biologically important activities occur should be entirely protected from the effects of high-intensity underwater sound.

As previously noted by ASOC, well designed and managed MPAs can play a key role in the conservation of cetaceans and marine ecosystems.26 The Ad Hoc Open-Ended Working Group on Protected Areas established under the auspices of the 1992 Convention on Biological Diversity has noted that marine areas beyond national jurisdiction in the Southern Ocean should be categorised as priority areas for MPA protection and targeted conservation action.27 The 1991 Environmental Protocol provides a mechanism for the creation of MPAs within the Antarctic Treaty Area. Under Annex V of the Protocol, Antarctic Specially Protected Areas (ASPAs) and Antarctic Specially Managed Areas (ASMA) may be designated and these may comprise (wholly or in part) marine ecosystems. Within these areas, activities may be prohibited, restricted or managed in accordance with management plans developed pursuant to the Annex.28 ASOC recommends that waters where biologically important activities occur are designated ASPAs and that those activities resulting in high-intensity underwater sound should be prohibited or restricted as appropriate therein.

ASOC welcomes, and requests CCAMLR to take notice of the report prepared by the Ad Hoc Open-Ended Working Group on Protected Areas established under the auspices of the 1992 Convention on Biological Diversity, entitled Options for Cooperation for the Establishment of Marine Protected Areas Beyond the Limits of National Jurisdiction (2005).29

ATCM and CCAMLR should explore mechanisms for establishing MPAs beyond the Antarctic Treaty Area within the Southern Ocean with a view to minimising noise pollution in the Southern Ocean.

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27 UNEP/CBD/WG-PA/1/2 (20 April 2005) Options for Cooperation for the Establishment of Marine Protected Areas Beyond the Limits of National Jurisdiction at para. 13(c).

28 Annex V, Article II.

29 UNEP/CBD/WG-PA/1/2 (20 April 2005)
d) Liaison with other international bodies

ASOC recommends that a closer working relationship is developed between CCAMLR, SCAR and other international bodies that have experience working on noise pollution issues.

A prominent example is the Scientific Committee of the International Whaling Commission (IWC), where noise has been on the agenda since 1998. In 2006 the IWC held a Workshop on Seismic Surveys and their Potential Impacts to Cetaceans just before its regular meeting. CCAMLR should agree on a Resolution to participate actively in further IWC and SCAR workshops on noise pollution.
Appendix 1

Only developments between May 2005 and April 2006 are briefly noted in this Appendix. For scientific and legal developments prior to this period please see the following information papers submitted by ASOC to previous meetings: A Note On The Vulnerability Of Cetaceans In Antarctic Waters To Noise Pollution, IP 59, ATCM May 2005; Marine Noise Pollution - Mitigation and the Need for Wider Protection, CCAMLR October 2005;An Update on Some Issues Surrounding Noise Pollution, IP 56, ATCM March 2004; Marine Acoustic Technology and the Antarctic Environment, IP 73, ATCM June 2003. Further background information can be found in the references section of this Appendix. In particular, the results of the SCAR Action Group on the Impacts of Acoustic Technology on the Antarctic Marine Environment (2004 Workshop) are reproduced in Antarctic Science 17(4) 533 – 540 (2005).

1. Recent Scientific Developments

Mounting evidence indicates that high-intensity anthropogenic sound from sonar and airguns leads to strandings, injury and mortality of beaked whales and other cetacean species. There have been several focusing events in the last year. Whilst a number of these relate to military sonar and may not be directly applicable to the waters of the Antarctic, noise pollution does not respect international boundaries and intense noise sources can travel long distances. Further, given the current lack of knowledge surrounding the noise issue it is important that we consider all sources of information that are available.

a) Military sonar

Such events include a multi-species stranding of 33 short-finned pilot whales, Globicephala macrocephalus, a minke whale, Balaenoptera acutorostrata, and two dwarf sperm whales, Kogia sima, in North Carolina, United States, in January 2005. Secondly, a mass stranding of Cuvier's beaked whales, Ziphius cavirostris, occurred on the Spanish coast of Almeria in January 2006. A further mass stranding in which at least 145 long-finned pilot whales, Globicephala melas, perished, occurred in Tasmania, Australia, 25 – 27 October 2005. In a further compelling case, a non-stranding event occurred in Hawaii, in July 2004 involving 150 – 200 melon-headed whales. Each of these events was linked to the use of military sonar.

b) Seismic surveys

The IUCN (World Conservation Union) convened the Interim Independent Scientists Group (IISG) to review Sakhalin Energy’s plans for mitigation of the critically endangered population of western North Pacific gray whales in their feeding habitat on the Sakhalin Shelf during the industry’s 2006 construction season. The IISG made a number of recommendations for the monitoring, mitigation and management of noise impacts. Significantly, it proposed thresholds for noise exposure and real-time acoustic monitoring. Based on previous

research, the IISG set 120 dB re 1 μPa as a criterion threshold for response. Such a low received level would be a significant distance from the source vessel and the practicalities of monitoring are complicated.

Airgun operations appear to affect the foraging behaviour of sperm whales, and possibly reduce their foraging rate, even at moderate received levels. When sperm whales were close to the surface, the first arrival of airgun pulses contained much energy between 0.3 and 3 kHz, a frequency range well beyond the normal frequencies of interest in seismic exploration. This increases concern of the potential impact on toothed whales with assumed poor low-frequency hearing, and particularly for those species that spend more time traveling and socialising near the surface. Further, the received level of first pulse arrivals can be just as high at 12 km as at a range of 2 km from the seismic array. Indeed, secondary arrivals have higher received levels at 5 – 12.6 km than they do at ranges closer to the source.

Significantly, some governments are increasingly mitigating the impacts of noise in their domestic waters. As an exceptional example, Spain has implemented a moratorium in its waters around the Canary Islands in response to a series of beaked whale mortalities associated with military activities. A number of other countries have implemented guidelines for the protection of cetaceans, and in some cases pinnipeds, from the potential impacts of military activities, seismic activities or both (for example, Australia). New Zealand has recently finalised its national seismic guidelines and Australia is currently reviewing its seismic guidelines. Other countries with seismic guidelines include the United Kingdom, United States, Brazil, Canada and Russia (for operations around Sakhalin Island).

c) Whale watching

Longer call duration of killer whales (Orcinus orca) was reported in the presence of increased whale watch boat traffic. The authors suggested that the response seems to be initiated to counteract anthropogenic noise once it reaches a critical level. The responses of adult male killer whales to approach by a few (1-3) vessels versus many (>3) vessels were documented. Responses of killer whales to different numbers of vessels differed significantly. This data highlights the subtlety in response, that had the data been pooled these significant responses would have been masked, leading to a false suggestion that boat presence had no effect. The interpretation of biological significance of null findings from impact assessments is problematic, and highlights the need for consideration of statistical power, experimental design and appropriateness of response variables.

2. Recent Legal Developments

During the period May 2005 – April 2006 acoustic marine pollution was both identified, and (to an extent) addressed, by a number of global and regional organisations.

37 Miller et al. At-sea experiments indicate that airguns affect the foraging behaviour of sperm whales in the Gulf of Mexico. (2006)
38 Madsen et al., Quantitative measures of air gun pulses recorded on sperm whales (Physeter macrocephalus) using acoustic tags during controlled exposure experiments. (2006).
39 Department of Conservation, Guidelines for minimising acoustic disturbance to marine mammals during seismic survey operations. (2006)
a) United Nations General Assembly

In its 2005 Resolution on Oceans and the Law of the Sea (A/RES/60/30) the UN General Assembly declared that it “encourages further studies and consideration of the impacts of ocean noise on marine living resources” (at paragraph 84). This is the first time the General Assembly has directly referred to undersea noise in a resolution and attests to its increasing profile as a source of marine pollution.

b) International Whaling Commission

The Scientific Committee established under the auspices of the IWC discussed issues related to anthropogenic noise and its potential effects on cetaceans as reported to the 57th Annual Meeting held in Ulsan, Republic of Korea (20 -24 June 2005). The Committee recommended that producers of high-intensity noise (e.g. sonar and seismic operators) share information on noise source characteristics and work with cetacean scientists to investigate the impacts of these activities. Having held already a symposium on acoustic impacts at the 56th Annual Meeting held in Sorrento, Italy (29 June-10 July 2004), a two-day workshop assessing the potential for seismic surveys to impact on cetaceans was held in advance of the 2006 IWC meeting.43

c) 1979 Convention on Migratory Species

At the Eighth Conference of the Parties held in Nairobi (20 – 25 November 2005) the Parties to CMS adopted Resolution 8.22 entitled Adverse Human Impacts on Cetaceans. Resolution 8.22 requests the CMS Secretariat and Scientific Council to review the extent to which CMS and CMS related agreements address six human induced impacts on cetaceans including marine noise (paragraph 3.b). Paragraph 1 of Resolution 8.22 “urges Parties and non-Parties which exercise jurisdiction over any part of the range of cetacean species listed on the appendices of CMS, or over flag vessels which are engaged outside national jurisdictional limits to cooperate as appropriate with relevant international organizations; and to promote the integration of cetacean conservation into all relevant sectors by coordinating their national positions among various conventions, agreements and other international fora.”

d) 1996 ACCOBAMS

At the Third Meeting of the Scientific Committee (Cairo, Egypt, 15 – 17 May 2005) the Chair presented a document entitled “Recommendations and Guidelines to address the impact of anthropogenic noise on marine mammals in the Mediterranean Sea: toward a permit system for the ACCOBAMS area.”44 Although establishing a permit system is not required by MOP Resolution 2.16 and a number of members expressed concern about the feasibility of the measures, the Scientific Committee decided to prepare a programme of work and to ask the Secretariat to contact an expert to prepare draft guidelines for the ACCOBAMS area, including the relevant justification and rationale. The draft guidelines are due to be submitted to the next meeting of the Scientific Committee.45

e) 1992 ASCOBANS

Noise pollution and other forms of disturbance were discussed at the Thirteenth Advisory Committee Meeting held between 25 and 27 April 2006. Draft Resolution No. 4 addresses the adverse effects of noise, vessels and other forms of disturbance on small cetaceans. It requests parties to introduce guidelines in connection with measures and procedures for seismic surveys and to conduct research into other sources of undersea noise. This resolution will be presented to the Fifth Meeting of the Parties due to take place between 19 and 22 September 2006. The UK has submitted reports on the use of offshore explosives by the UK (2003 – 2005) and

43 Chair’s Report of the 57th IWC Meeting (Ulsan, Republic of Korea) (20 – 24 June 2005) at para. 11.1.1.
45 Report of the Third Meeting of the Scientific Committee (Cairo, 15 – 17 May 2005) agenda item 5.6.
the conduct of seismic activities (2004 – 2005) in connection with the Thirteenth Advisory Committee Meeting.\textsuperscript{46} Previously Resolution No. 4 (2000) and Resolution No. 5 (2003) were adopted to address the issue of underwater noise.

References and Bibliography


Ashe, E. and Williams, R. 2006. Killer whale responses to boats varies with boat number: Implications for experimental design of vessel impact assessments. \textit{Presentation to the 20\textsuperscript{th} Annual Conference of the European Cetacean Society}.


\textsuperscript{46} Document AC13/Doc.33(P) and Document AC13/Doc.36(P) respectively.


